



Office of the Attorney General
200 St. Paul Place
Baltimore, Maryland 21202
www.marylandattorneygeneral.gov

JOB ANNOUNCEMENT
Forensic Accountant/Examiner
Maryland Securities Division

Posting Date: March 4, 2020

Closing Date: March 18, 2020

Job Title: Forensic Accountant/Examiner

Position Type: Regular Full Time

Location: Office of the Attorney General, Securities Division
200 St. Paul Place, Baltimore, Maryland

Description: The Office of the Attorney General is seeking applicants for a forensic accountant/examiner for the Securities Division.

Duties: The forensic accountant oversees and manages the accounting responsibilities of the Maryland Securities Division in its administration and enforcement of Maryland investor protection laws, including the Securities Act, the Franchise Law, and the Business Opportunities Act. He or she analyzes financial records related to registration applications, on-site inspections, and law enforcement investigations. The accountant evaluates audited and unaudited financial statements submitted by registrants to determine compliance with generally accepted accounting principles and other requirements under Maryland law. The accountant collects and analyzes complex financial documents, including bank and brokerage accounts. He or she participates in law enforcement cases filed in courts and administrative hearings by organizing and synthesizing evidence, supervising investigations of financial records, and serving as an agency expert on financial matters. The accountant also assesses the internal controls over funds received by the Securities Division. As an examiner, the position reviews and evaluates registration applications and disclosure documents, including prospectuses, contracts, financial statements, advertising materials, and other required submissions to determine adequacy and accuracy of disclosure, including compliance under Maryland law.

Experience: A Bachelor's degree from an accredited college or university. Five years of experience in administrative staff or professional work. One year of this experience must have involved one or more of the following: the supervision of other employees, overseeing and coordinating the general operations of a unit, applying rules and regulations, or exercising responsibility for the development of policies or procedures. Additional experience in administrative staff or professional work may be substituted on a year-for-year basis for the required education. Additional graduate level education at an accredited college or university may be substituted at the rate of 30 semester credit hours on a year-for-year basis for the required general experience. Candidates may substitute U.S. Armed Forces military service experience as a commissioned officer involving staff work related to the administration of rules, regulations, policy, procedures and processes, or overseeing or coordinating unit operations or functioning as a staff assistant to a higher ranking commissioned officer on a year-for-year basis for the required education and experience. The ideal candidate will have at least three years of experience as a forensic accountant and be an active, licensed Certified Public Accountant. A background in securities or franchise regulation is helpful.

Submission: Interested persons should submit an application and supplemental questionnaire through the Department of Budget & Management, Maryland Online Employment Center <http://www.jobaps.com/MD/> by the close of business Wednesday, March 18, 2020.

The Maryland Office of the Attorney General is an equal opportunity employer, committed to diversity in the workplace.